

275

275 Additional Information to Support a Health Care Claim or Encounter—Batch

This companion document is for informational purposes only to describe certain aspects and expectations regarding the transaction and is not a complete guide. The details contained in this document are supplemental and should be used in conjunction with the ASC X12 Standards for Electronic Data Interchange Technical Report Type 3 (TR3) as published by the Washington Publishing Company.

Section 1 – 275 Health Care Claim Attachment: Basic Instructions

Section 2 – 275 Health Care Claim Attachment: Charts for Situational Rules

NOTE: UniCare has designated Availity to operate and serve as UniCare's EDI Gateway (entry point) as a no-cost option to our Trading Partners.

Get Started With Availity

Use the [Availity Welcome Application](#) to begin the process of connecting to the Availity EDI Gateway for your UniCare EDI transmissions. **Review page 5 in this document for key EDI requirements.**

Also, the [Availity Quick Start Guide](#) will assist you with any EDI connection questions.

If you're a provider and wish to use a Clearinghouse or Billing company, please work with them to ensure connectivity.

Need Assistance?

For questions about signing up, contact Availity Client Services
1-800-AVAILITY (1-800-282-4548) or visit www.availity.com

Additional questions? Contact E-Solutions
800-470-9630 or email e-solutions.support@unicare.com

Section 1 - Basic Instructions

1 Business Events Supported by the 275 Transaction Set

- Solicited Attachment to Support a Healthcare Claim – Documentation submitted in response to a specific request
- Unsolicited Attachment to Support a Healthcare Claim – Documentation is known to be needed and submitted at the same time as the claim
- Unstructured documents, including PDF, TIFF, and JPEG

2 Business Rules & Limitations

- Unsolicited attachments must be received within 7 business days of receipt of the corresponding health care claim.
- Each attachment is limited to a maximum size of 10MB or 999 pages.
- The total 275 transaction is limited to a maximum size of 30MB.
- A version 6020 275 may be used to submit additional documentation to support a version 5010 837 claim.

2.1 Document Matching – Unsolicited Attachments

- The unique Attachment Control Number on the 837 claim PWK06 must match the 275 attachment (Loop 2000A TRN02).
 - Attachment Control Number must begin with "PWK".Example: Provider assigned attachment control number

PWK + Patient Account Number + **Date** = **PWK2719007701312019**

- For multiple documents to support a single claim, multiple PWK segments must be sent in the 837 claim. Then, multiple Loop 2000A each with a unique TRN02 segment will be sent.
 - Differentiate multiple documents for a single claim by adding a sequential number within the unique Attachment Control Number.

Example: Provider assigned attachment control number

PWK + Patient Account Number + **sequential number for each document** + Date =

First document **PWK27190077101312019**

Second document **PWK27190077201312019**

- Attachment control numbers may contain letters, numbers, exclamation ("!"), backslash ("\"), comma (","), left parenthesis ("("), right parenthesis (")"), plus sign ("+"), apostrophe ("'"), full stop/period ("."), slash ("/"), semicolon (";"), question mark ("?"), equal sign ("="), number sign/hash ("#"), minus/dash ("-"), and underscore ("_").

2.2 Document Matching – Solicited Attachments

UniCare requests for supporting documentation will include a claim number to use as the Attachment Control Number in the 275 attachment (Loop 2000A TRN02).

2.3 Matching Criteria

The complete list of criteria to match an attachment to a claim includes:

- Patient Name
- Member ID
- Billing NPI
- Date of Service
- Claim total
- Attachment Control Number

2.4 Accepted Attachment Types

The following unstructured document types are accepted as attachments:

- JPEG Image
- PDF
- TIF Image

2.5 MIME Packaging/Base64 Encoding

All attachment data must be single part MIME packaged, as defined in IETF RFC 2045. See <https://tools.ietf.org/html/rfc2045> for complete specification.

MIME encoding solves several issues:

1. It reduces the number of characters in the attachment, preventing conflicts with the X12 element separators.
2. It identifies the content type, allowing validation by the receiver.
3. It identifies the filename, allowing for a per-file acknowledgement back to the sender.

A MIME encoded attachment format:

```
MIME-Version: 1.0
Content-Type: image/tiff
Content-Transfer-Encoding: base64
Content-Disposition: attachment; filename="patientxray.tif"

<Base64 encoded data>
```

Note: The MIME header uses the colon (:). This character is often used as the EDI sub-element separator. When including a MIME package, a different EDI sub-element separator must be selected.

When submitting multiple attached files, each file must be submitted in a different Loop 2000A. Multi-part MIME encoded packages are NOT supported.

2.6 Filename Restrictions

In order to facilitate processing of your files, name your attachment with only letters, numbers, full stop/period ("."), minus/dash ("-") and underscore ("_").

3 Provider Identification

Billing provider information used to match the claim to supporting documentation must be included in Loops 1000C and 1100C:

- Providers with NPIs must submit their billing NPI in Loop 1000C NM109
- NPI exempt providers must submit their provider number in the REF – Provider Secondary Identification segment with a REF01=A6

4 Patient Identification (Loop 1000D)

If the patient is not the subscriber and does not have their own member ID, the member ID from the 837 claim (Loop 2000C NM109) must be populated in the 275 attachment (Loop 1000D NM109).

5 External Resources

For additional information related to transactions and standards in this companion document:

- X12 (<http://x12.org>) – 275 attachment and 837 claim transactions
- WEDI white paper (<https://www.wedi.org/workgroups/data-exchange/attachments> - login required) – implementation issues and uses of the 275 attachment
- LOINC site/HIPAA tab (<https://loinc.org/?s=HIPAA+TAB>) – LOINC codes
 - MIME (<https://tools.ietf.org/html/rfc2045>) – MIME packaging of an attachment file
 - Base64 (<https://tools.ietf.org/html/rfc4648>) – standard encoding format

6 Logical Observation Identifiers Names and Codes (LOINC)

The HIPAA Administrative Simplification provision mandates the adoption of standards for electronic claims attachments. A claims attachment includes the clinical and administrative information often necessary to adjudicate claims such as those for ambulance, rehabilitation, or emergency room services. Regenstrief has worked closely with the HL7 Attachments Work Group (AWG) to support the use of LOINC codes in the electronic exchanges of attachments between payers and providers.

LOINC is maintained at <https://loinc.org>.

A payer request specific subset of LOINC is defined as the "HIPAA Tab". It lists generic document types that payers may use to solicit specific types of documentation

7 Communication Options

All connectivity is provided through Availity, www.availity.com.

8 Acknowledgements and/or Reports

These reports are formatted based on the settings the trading partner chooses at Availity. Review the [Availity EDI Guide](#) for more information on report formatting options.

- TA1 when the ISA-IEA envelope cannot be processed.
 - Returned for 275 files containing envelope errors in the ISA and GS segments.
- 999 when submitted 275 does not pass Level 1 validation
 - Returned if the X12 syntax or any other aspect of the 275 is not X12 compliant, the Immediate Batch Report/999 will also report the Level 1 errors in AK segments and indicate that the entire transaction set has been rejected
- 824 when submitted 275 does not pass Level 2 validation
 - Returned for HIPAA compliance (including balancing), code set or business errors

Sample TA1:

Customer ID: 16455	File Status: REJECTED
Date Received: 2019-10-02	Time Received: 17.51.20.820
Filename: 201910021350	
File Control Number: 655596392	

Interchange acknowledged: 655596392

```
Interchange Date: 190731           Interchange Time: 1215
Interchange Status: The Transmitted Interchange Control Structure Header and Trailer are Rejected Because of
Errors.
Interchange Note: Invalid Interchange Content (e.g., Invalid GS Segment)
```

Sample 999:

```
ISA*00*          *00*          *ZZ*RECEIVER          *ZZ*SENDER          *110726*0702*^*00501*000003072*0*T::~~
GS*FA*RECEIVER*SENDER*20110726*070241*30720001*X*005010X231~
ST*999*0001*005010X231~
AK1*RU*71300027*006020X275~
AK2*275*071300027*006020X275~
IK3*NM1*4*2100*8~
IK4*8*66*I6*AD~
IK5*R*5~
AK9*R*1*1*0~
SE*8*0001~
GE*1*1~
IEA*1*000000001~
```

Sample 824:

```
ISA*00*          *00*          *ZZ*AV09311993          *ZZ*030240928          *190924*1500*^*00501*229041828*0*T*:~
GS*HN*AV09311993*030240928*20190924*1500*1*X*005010X186~
ST*824*1001*005010X186~
BGN*11*1234*20190924*07495051**147797335**U~
N1*41**PI*0016455~
N1*40**XX*1003813502~
OTI*TR*TN*NA***20190924*1500**1001*275*006020X275~
REF*F8*3247814~
NM1*QC*1*JOHN*SMITH***MI*ABC721734870~
RED*Found invalid LOINC(s).**94**IBP*E161~
SE*9*1001~
GE*1*1~
IEA*1*229041828~
```

Section 2 – Charts for Situational Rules

Listed below are loops, segments, and data elements required for processing by UniCare per the situational rules in the 275 TR3.

275 Health Care Attachments				
TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to UniCare
P.32	ST Transaction Set Header	ST03 Implementation Convention Ref	006020X275	005020X275 - Additional Information to Support a Health Care Claim or Encounter
P.33	BGN Beginning Segment	BGN01 Transaction Set Purpose Code	02 11	<ul style="list-style-type: none">02 - 275 is sent unsolicited to support an 837 claim11 - 275 is in response to a solicited letter or other request for information
Loop ID 1000A—Payer Name				
P.35	NM1 Submitter Name	NM109 Identification Code	(Submitter Identifier)	Use same Payer ID as on claim from the Availity Payer List
P.37	PER	Payer Contact Information - Refer to TR3		
Loop ID 1000B—Submitter Information				
P.40	NM1 Receiver Name	NM109 Identification Code	(Electronic Transmitter Identification Number)	Use Availity Customer ID (also known as Submitter ID)
Loop ID 1000C—Provider Name Information				
P.42	NM1 Receiver Name	NM108 Identification Code Qualifier	XX	NPI is required when provider has an NPI
		NM109 Identification Code	(Provider NPI)	NPI is required when provider has an NPI. Match Billing NPI from claim.
P.45	PRV	Provider Taxonomy Information - Refer to TR3		
P.47	REF Provider Secondary Identification	REF01 Reference Identification Qualifier	A6	Use when provider is NPI exempt
Loop ID 1100C—Provider Identification				
P.48	NX1	Provider Identification - Refer to TR3		
P.49	N3	Provider Address - Refer to TR3		
P.50	N4	Provider City, State, ZIP Code - Refer to TR3		
Loop ID 1000D—Patient Information				
P.52	NM1 Receiver Name	NM104 Patient First Name	(Name)	Allows for member validation and proper claim matching
		NM109 Identification Code	(Patient Primary Identifier)	Subscriber ID associated with patient, even if patient is not the subscriber

275 Health Care Attachments				
TR3	Segment	Reference Designator(s)	Value	Definitions and Notes Specific to UniCare
Loop ID 1000D—Patient Information (cont'd)				
P.55	REF	Provider's Assigned Claim Identifier Number - Refer to TR3		
P.57	REF	Institutional Type of Bill - Refer to TR3		
P.58	REF	Medical Record Identification Number - Refer to TR3		
P.59	REF	Claim Identification Number of Clearinghouses and Other Transmission Intermediaries - Refer to TR3		
P.60	REF	Property & Casualty Claim Number – Refer to TR3		
P.62	DTP	Claim Service Date - Refer to TR3		
Loop ID 2000A—Assigned Number				
P.63	LX	Assigned Number - Refer to TR3		
P.64	TRN Payer Claim Control Number / Provider Attachment Control Number	TRN01 Trace Type Code	1 2	1 - when BGN01 = 02 2 - when BGN01 = 11
		TRN02 Reference Identification	(Attachment Control Number)	<ul style="list-style-type: none">When BGN01 = 02; use PWK06 Attachment Control Number from claimWhen BGN01 = 11; use claim number
P.66	STC	Status Information - Refer to TR3		
P.70	REF	Service Line Item Identification - Refer to TR3		
P.71	REF	Case Reference Identifier - Refer to TR3		
P.72	REF	Attachment Request Tracking Identifier - Refer to TR3		
P.73	HI	Healthcare Information Codes – Refer to TR3		
P.86	SVC	Service Information – Refer to TR3		
Loop ID 2100A—Service Line Date of Service				
P.90	DTP	Service Line Date of Service - Refer to TR3		
Loop ID 2100B—Additional Information Submission Date				
P.91	DTP Additional Information Submission Date	DTP03 Date Time Period	(Additional Information Submitted Date)	Actual additional information receipt date is the business date the transaction is received at Availity
P.92	CAT Category of Patient Information Services	CAT02 Report Transmission Code	IA	IA – Electronic Image
Loop ID 2110B—Electronic Format Identification				
P.94	OOI	Associated Object Type Identification - Refer to TR3		
P.95	BDS Binary Data Segment	BDS01 Filler ID Code	B64	MIME package data for BIN02 before calculating number of bytes
		BDS02 Length of Binary Data	(Number of Bytes in BDS03)	All content must be MIME packaged
P.97	SE	Transaction Set Trailer - Refer to TR3		

Appendix A: Attachment Request Codes

The LOINC® codes, LOINC® Table (regardless of format), LOINC® Table Core, LOINC® Release Notes, LOINC® Changes File, and LOINC® Users' Guide are copyright © 1995-2017, Regenstrief Institute, Inc. and the Logical Observation Identifiers Names and Codes (LOINC) Committee. All rights reserved.

List current of HIPAA Request Codes as of December 2018.

LOINC	LOINC Description
34117-2	History and Physical Note
11503-0	Medical Records
75325-1	Symptom
18842-5	Discharge Summary
18748-4	Diagnostic Imaging Reports
80565-5	Medication administration record
18776-5	Plan of care note
11504-8	Provider Unspecified Operation Note
11502-2	Laboratory report
11506-3	Provider Unspecified Progress Note
11526-1	Pathology Study
19002-5	Physical therapy service attachment
11488-4	Consult Note
11485-0	Anesthesia records
29206-0	Speech therapy service attachment
18826-8	Occupational therapy service attachment
46212-7	Pre-operative photo
28011-5	ED claims attachment
28633-6	Polysomnography (sleep) study
28629-4	Perimetry Study
11514-7	Chiropractic Records total Encounter
18682-5	Ambulance claims attachment
52063-5	Prescription for durable medical equipment (DME)
28636-9	Initial evaluation note
18594-2	Psychiatric service attachment
11506-3	Progress Note
34133-9	Continuity of Care Document
57828-6	Prescription List
18823-5	Alcohol and/or substance abuse service attachment

LOINC	LOINC Description
34118-0	Patient's home Initial evaluation note
67716-1	Vendor device model
54522-8	Functional status
57073-9	Prenatal Events Narrative
76641-0	Neurology Study report
24338-6	Gas panel - Blood
80792-5	Pulmonary Diagnostic study note
15508-5	Labor and delivery records
34002-6	Tooth position
88363-7	Medical equipment or product note
52064-3	First report of injury
18780-7	Ordering practitioner identifier
80785-9	Radiation oncology Plan of care note
53242-4	Need Itemized Bills

Release Notes		
Number	Page(s)	Description
1		<i>Initial Document for 5010</i>
1	2	<i>Updated version to 6020 from 5010 – 5010X210 to 6020X314</i> <i>Section 1: 2 – 4th bullet added</i> <i>Section 1: 2.1 – 3rd bullet added</i> <i>Section 1: 2.6 added</i> <i>Section 1: 3 – 2nd bullet 'REF01=A6</i> <i>Section 2: Page numbers removed</i> <i>Section 2: ST03 value updated;</i> <i>Loop 1000C REF01=A6,</i> <i>Loop 1100C removed NM1, REF, REF;</i> <i>Loop 1000D retitled REF Provider's Assigned Claim ID, added REF Property & Casualty;</i> <i>Loop 2000A retitled Case Reference ID, Attachment Request Tracking ID, added HI, SVC;</i> <i>Loop 2100B updated DTP;</i> <i>Loop 2110B removed EFI, added OOI, Updated BDS</i>
	6	